



12-18-01

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

UTILITY PATENT APPLICATION AND FEE TRANSMITTAL

(Only for non-provisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. MICR0256

Date: December 10, 2001

Inventor(s): **Thomas Bergstraesser, Ulrich Homann, and Paul James Hough**Title **SERVICES FOR CONTEXT-SENSITIVE FLAGGING OF INFORMATION IN NATURAL LANGUAGE TEXT AND CENTRAL MANAGEMENT OF METADATA RELATING TO THAT INFORMATION OVER A COMPUTER NETWORK**FULL NAME OF 1ST NAMED INVENTOR: **Thomas Bergstraesser** COUNTRY OF CITIZENSHIP: **Germany**RESIDENCE ADDRESS OF INVENTOR: **10417 N.E. 52nd Street, Kirkland, Washington 98033**FULL NAME OF 2ND NAMED INVENTOR: **Ulrich Homann** COUNTRY OF CITIZENSHIP: **Germany**RESIDENCE ADDRESS OF INVENTOR: **13029 N.E. 104th Street, Kirkland, Washington 98033**FULL NAME OF 3RD NAMED INVENTOR: **Paul James Hough** COUNTRY OF CITIZENSHIP: **Ireland**RESIDENCE ADDRESS OF INVENTOR: **Carraigoona, Bray Road, Foxrock, Dublin 18, County Dublin, Ireland**

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents

Box Patent Application

Washington, DC 20231

1. ☒ **X** Fee Transmittal (BELOW)
2. ☐ Applicant(s) claims small entity status. See 37 C.F.R. § 1.27.
3. ☒ **X** Specification [Total Pages 35]
4. ☒ **X** Drawing(s) (35 U.S.C. 113) [Total Sheets 6] **[FORMAL]**
5. ☒ **X** Oath or Declaration [Total Pages 2 PAGES EACH, 3 DOCUMENTS]
 - a. ☒ **X** Newly executed (original or copy) (3 DOCUMENTS)
 - b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d)) (for continuation/divisional with Box 17 completed) [Note Item 5 below]
 - ii. **DELETION OF INVENTOR(S)**
 - ☐ Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 C.F.R. § 1.76
7. ☐ CD-ROM or CD-R in duplicated, large table or Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. ☐ Specification Sequence Listing on: ☐ i. CD-ROM or CD-R (2 copies); or ☐ i. Paper
 - c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ **X** Assignment Papers (cover sheet & document(s)) (THREE SETS)
10. ☐ 37 C.F.R. § 3.73(b) Statement (when there is an assignee) _____ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 _____ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ **X** Return Receipt Postcard (MPEP 503) (Should be itemized specifically)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ **NONPUBLICATION REQUEST: Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.**
17. ☐ Other: _____

18. **If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:**Continuation ☐ Divisional ☐ Continuation-in-part (CIP) ☐ of prior application No: /

Prior application information: Examiner: _____ Group / Art Unit: _____

For CONTINUATION OR DIVISIONAL APPLICATIONS only: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein. This incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

Correspondence address below:

Ronald M. Anderson
LAW OFFICES OF RONALD M. ANDERSON
600 - 108th Avenue N.E., Suite 507
Bellevue, WA 98004

Telephone: 425-688-8816

Facsimile: 425-646-6314

FEE TRANSMITTAL																																																					
METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)																																																			
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:</p> <p>Deposit Account Number <u>01-1940</u></p> <p>Deposit Account Name: <u>Law Offices of Ronald M. Anderson</u></p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 & 1.17</p> <p><input type="checkbox"/> Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance</p> <p>2. <input checked="" type="checkbox"/> Payment Enclosed:</p> <p><input checked="" type="checkbox"/> Check (No. <u>5545</u>) <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p> <p>Payment NOT Enclosed</p>		<p>3. ADDITIONAL FEES (Continued)</p> <table border="1"> <thead> <tr> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>Extension for reply within first month</td><td></td></tr> <tr><td>Extension for reply within second month</td><td></td></tr> <tr><td>Extension for reply within third month</td><td></td></tr> <tr><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>Notice of Appeal</td><td></td></tr> <tr><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>Request for oral hearing</td><td></td></tr> <tr><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>Petition to revive - unavoidable</td><td></td></tr> <tr><td>Petition to revive - unintentional</td><td></td></tr> <tr><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>Design issue fee</td><td></td></tr> <tr><td>Plant issue fee</td><td></td></tr> <tr><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>Petitions related to provisional applications</td><td></td></tr> <tr><td>Submission of Information Disclosure Statement</td><td></td></tr> <tr><td>Recording each patent assignment per property (times number of properties) (3 DOCUMENTS X \$40)</td><td>120</td></tr> <tr><td>Submission after final rejection (37 C.F.R. 1.129(a))</td><td></td></tr> <tr><td>Add'l invention to be examined (37 CFR 1.129(b))</td><td></td></tr> <tr><td>Other fee (specify)</td><td></td></tr> <tr><td colspan="2">SUBTOTAL (3)</td></tr> <tr><td colspan="2">\$ 120</td></tr> </tbody> </table>		Fee Description	Fee Paid	Requesting publication of SIR after Examiner action		Extension for reply within first month		Extension for reply within second month		Extension for reply within third month		Extension for reply within fourth month		Extension for reply within fifth month		Notice of Appeal		Filing a brief in support of an appeal		Request for oral hearing		Petition to institute a public use proceeding		Petition to revive - unavoidable		Petition to revive - unintentional		Utility issue fee (or reissue)		Design issue fee		Plant issue fee		Petitions to the Commissioner		Petitions related to provisional applications		Submission of Information Disclosure Statement		Recording each patent assignment per property (times number of properties) (3 DOCUMENTS X \$40)	120	Submission after final rejection (37 C.F.R. 1.129(a))		Add'l invention to be examined (37 CFR 1.129(b))		Other fee (specify)		SUBTOTAL (3)		\$ 120	
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Total Claims	45 - 20 = 25 x \$18	=	\$ 450																																																		
Independent Claims	5 - 3 = 2 x \$84	=	\$ 168																																																		
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SUBTOTAL (2)		\$ 618																																																			
FEES (SUBTOTALS (1) & (2))		\$1358																																																			
3. ADDITIONAL FEES																																																					
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Non-English specification																																																					
Request for reexamination																																																					
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TOTAL FEES ENCLOSED: \$ 1478																																																					

Typed/Printed Name Ronald M. Anderson

Signature Ron Anderson

Registration Number: 28,829

Date: December 10, 2001

EXPRESS MAIL CERTIFICATE

Express Mail Label No. EL744182629US

Date of Deposit: December 10, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

KATHY PAULINO
(Name of person mailing paper/fee)

Kathy Paulino
(Signature of person mailing paper or fee)